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JC950 U.S. PTO  
09/994386

11/27/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

FIRST-NAMED INVENTOR: Srikanth Sundararajan

FOR: METHOD AND SYSTEM FOR MANAGING COMPONENT  
CHANGES TO A BUSINESS CRITICAL APPLICATION IN A  
PRODUCTION ENVIRONMENT

November 27, 2001

**Box PATENT APPLICATION**

Assistant Commissioner for Patents

Washington, D.C. 20231

1. This is a request for filing a new nonprovisional application under 37 C.F.R. §1.53(b).
2. ☒ Specification (16 pages); Claims (3 pages); Abstract (1 page); = Total (20 pages)
3. ☒ Drawings - Number of Sheets ( 2)  
☐ Formal  
☒ Informal (Figs. 1-2)
4. ☒ Declaration and Power of Attorney  
☒ Unsigned  
☐ Signed



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PATENT TRADEMARK OFFICE

FIRST-NAMED INVENTOR: Srikanth Sundararajan  
Request for New Nonprovisional Application (37 C.F.R. §1.53(b))

5. Fee Calculation

| CLAIMS AS FILED   |                 |                        |                 |          |                                |
|---|-----------------|------------------------|-----------------|----------|--------------------------------|
| Claims  | Number<br>Filed | Basic Fee<br>Allowance | Number<br>Extra | Rate     | Basic Fee<br>37 C.F.R. 1.16(a) |
|   |                 |                        |                 |          | \$740.00                       |
| Total Claims<br>(37 C.F.R. 1.16(c))                           | 11              | - 20 =                 | 0               | \$ 18.00 | \$0                            |
| Independent Claims<br>(37 C.F.R. 1.16(b))                     | 2               | - 3 =                  | 1               | \$ 84.00 | \$0                            |
| Multiple Dependent<br>Claim(s), if any<br>(37 C.F.R. 1.16(d)) |                 |                        |                 | \$270.00 | \$0                            |
| SUBTOTAL:   |                 |                        |                 |          | \$740                          |
| Reduction by 50% for filing by small entity:                  |                 |                        |                 |          | \$370.00                       |
| TOTAL FEE   |                 |                        |                 |          | \$370.00                       |

6. ☒ Small Entity Status

☒ Claiming Small Entity As Small Business Concern (37 C.F.R. §1.9(f) & 1.27(c)).

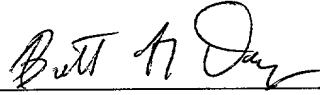
7. This application is a continuation-in-part of Provisional Application No. 60/253,152, entitled Method and System for Managing Component Changes to a Business Model or Production Environment, filed on November 27, 2000 and claims the benefit of the provisional application under 35 U.S.C. §119(e).

8. ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.

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Request for New Nonprovisional Application (37 C.F.R. §1.53(b))

9. ☒ Return Receipt Postcard Enclosed

Respectfully submitted,



Brett N. Dorny (Reg. No. 35860)  
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Dated: November 27, 2001

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